

An Overview Of NASA Automotive Component Reliability Studies

ESCCON 2016, Noordwijk, The Netherlands

March, 1 - 3, 2016

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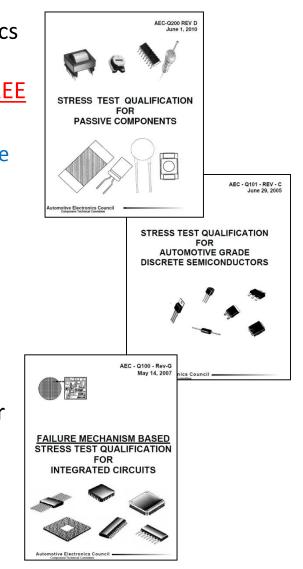
Acronyms

AeroAerospaceAFRLAir Force Research LaboratoryBMEBase Metal ElectrodeBOKBody of KnowledgeCBRAMConductive Bridging Random Access MemoryCCMCCommunity Coordinated Modeling CenterCDHCentral DuPage Hospital Proton Facility, Chicago IllinoisCMOSComplementary Metal Oxide SemiconductorCNTCarbon NanotubeCOPCommunity of PracticeCOTSCommercial Off The ShelfCRÈMECosmic Ray Effects on Micro ElectronicsDCDirect CurrentDLA/DSCCDefense Logistics Agency Land and MaritimeEEEElectrical, Electronic, and ElectromechanicalELDRSEnhanced Low Dose Rate SensitivityEPEnhanced PlasticEPARTSNASA Electronic Parts DatabaseESAEuropean Space AgencyFYFiscal YearGaNGallium NitrideGSFCGoddard Space Flight CenterHUPTIHampton University Proton Therapy InstituteIBMInternational Business MachinesIPCInternational Post CorporationIUCFIndiana University Cyclotron FacilityJEDECJoint Electron Device Engineering CouncilJPLJet Propulsion LaboratoriesLaRCLangley Research CenterLEOLow Earth OrbitLLUMCJames M. Slater Proton Treatment and Research Center at Loma Linda University Medical Center	Acronym	Definition		
BMEBase Metal ElectrodeBOKBody of KnowledgeCBRAMConductive Bridging Random Access MemoryCCMCCommunity Coordinated Modeling CenterCDHCentral DuPage Hospital Proton Facility, Chicago IllinoisCMOSComplementary Metal Oxide SemiconductorCNTCarbon NanotubeCOPCommunity of PracticeCOTSCommercial Off The ShelfCRÈMECosmic Ray Effects on Micro ElectronicsDCDirect CurrentDLA/DSCCDefense Logistics Agency Land and MaritimeEEEElectrical, Electronic, and ElectromechanicalELDRSEnhanced Low Dose Rate SensitivityEPEnhanced PlasticEPARTSNASA Electronic Parts DatabaseESAEuropean Space AgencyFYGAField Programmable Gate ArrayFYFiscal YearGaNGallium NitrideGSFCGoddard Space Flight CenterHUPTIHampton University Proton Therapy InstituteIBMInternational Bost CorporationIUCFIndiana University Cyclotron FacilityJEDECJoint Electron Device Engineering CouncilJPLJet Propulsion LaboratoriesLaRCLangley Research CenterLEOLow Earth OrbitLLUMCJames M. Slater Proton Treatment and Research Center at LomaLinda University Medical Center	Aero	Aerospace		
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CBRAMConductive Bridging Random Access MemoryCCMCCommunity Coordinated Modeling CenterCDHCentral DuPage Hospital Proton Facility, Chicago IllinoisCMOSComplementary Metal Oxide SemiconductorCNTCarbon NanotubeCOPCommunity of PracticeCOTSCommercial Off The ShelfCRÈMECosmic Ray Effects on Micro ElectronicsDCDirect CurrentDLA/DSCCDefense Logistics Agency Land and MaritimeEEEElectrical, Electronic, and ElectromechanicalELDRSEnhanced PlasticEPARTSNASA Electronic Parts DatabaseESAEuropean Space AgencyFYFiscal YearGaNGallium NitrideGSFCGoddard Space Flight CenterHUPTIHampton University Proton Therapy InstituteIBMInternational Business MachinesIPCInternational Post CorporationIUCFIndiana University Cyclotron FacilityJEDECJoint Electron Device Engineering CouncilJPLJet Propulsion LaboratoriesLaRCLangley Research CenterLEOLow Earth OrbitLLUMCJames M. Slater Proton Treatment and Research Center at LomaLinda University Medical Center	BME	Base Metal Electrode		
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CDHCentral DuPage Hospital Proton Facility, Chicago IllinoisCMOSComplementary Metal Oxide SemiconductorCNTCarbon NanotubeCOPCommunity of PracticeCOTSCommercial Off The ShelfCRÈMECosmic Ray Effects on Micro ElectronicsDCDirect CurrentDLA/DSCCDefense Logistics Agency Land and MaritimeEEEElectrical, Electronic, and ElectromechanicalELDRSEnhanced Low Dose Rate SensitivityEPEnhanced PlasticEPARTSNASA Electronic Parts DatabaseESAEuropean Space AgencyFYGAField Programmable Gate ArrayFYFiscal YearGaNGallium NitrideGSFCGoddard Space Flight CenterHUPTIHampton University Proton Therapy InstituteIBMInternational Business MachinesIPCIndiana University Cyclotron FacilityJEDECJoint Electron Device Engineering CouncilJPLJet Propulsion LaboratoriesLaRCLangley Research CenterLEOLow Earth OrbitLLUMCJames M. Slater Proton Treatment and Research Center at LomaLinda University Medical Center	CBRAM	Conductive Bridging Random Access Memory		
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FPGAField Programmable Gate ArrayFYFiscal YearGaNGallium NitrideGSFCGoddard Space Flight CenterHUPTIHampton University Proton Therapy InstituteIBMInternational Business MachinesIPCInternational Post CorporationIUCFIndiana University Cyclotron FacilityJEDECJoint Electron Device Engineering CouncilJPLJet Propulsion LaboratoriesLaRCLangley Research CenterLEOLow Earth OrbitLLUMCJames M. Slater Proton Treatment and Research Center at Loma Linda University Medical Center	EPARTS			
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GSFCGoddard Space Flight CenterHUPTIHampton University Proton Therapy InstituteIBMInternational Business MachinesIPCInternational Post CorporationIUCFIndiana University Cyclotron FacilityJEDECJoint Electron Device Engineering CouncilJPLJet Propulsion LaboratoriesLaRCLangley Research CenterLEOLow Earth OrbitLLUMCJames M. Slater Proton Treatment and Research Center at LomaLinda University Medical Center	FY	Fiscal Year		
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HUPTIHampton University Proton Therapy InstituteIBMInternational Business MachinesIPCInternational Post CorporationIUCFIndiana University Cyclotron FacilityJEDECJoint Electron Device Engineering CouncilJPLJet Propulsion LaboratoriesLaRCLangley Research CenterLEOLow Earth OrbitLLUMCJames M. Slater Proton Treatment and Research Center at LomaLinda University Medical Center	GSFC	Goddard Space Flight Center		
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JPL Jet Propulsion Laboratories LaRC Langley Research Center LEO Low Earth Orbit LLUMC James M. Slater Proton Treatment and Research Center at Loma Linda University Medical Center	IUCF	•		
JPL Jet Propulsion Laboratories LaRC Langley Research Center LEO Low Earth Orbit LLUMC James M. Slater Proton Treatment and Research Center at Loma Linda University Medical Center	JEDEC			
LaRC Langley Research Center LEO Low Earth Orbit LLUMC James M. Slater Proton Treatment and Research Center at Loma Linda University Medical Center	JPL			
LLUMC James M. Slater Proton Treatment and Research Center at Loma Linda University Medical Center	LaRC			
LIUMC Linda University Medical Center	LEO	Low Earth Orbit		
	LLUMC			
MGH Massachusetts General Hospital	MGH	•		

Acronym	Definition		
MIL	Military		
MLCC	Multi-Layer Ceramic Capacitor		
MOSFETS	Metal Oxide Semiconductor Field Effect Transistors		
MRAM	Magnetoresistive Random Access Memory		
MRB	Material Review Board		
MRQW	Microelectronics Reliability and Qualification Working Meeting		
MSFC	Marshall Space Flight Center		
NASA	National Aeronautics and Space Administration		
NAVY Crane	Naval Surface Warfare Center, Crane, Indiana		
NEPAG	NASA Electronic Parts Assurance Group		
NEPP	NASA Electronic Parts and Packaging		
NPSL	NASA Parts Selection List		
PBGA	Plastic Ball Grid Array		
POC	Point of Contact		
POL	Point of Load		
ProCure	ProCure Center, Warrenville, Illinois		
QPL	Qualified Product List		
QML	Qualified Manufacturers List		
RERAM	Resistive Random Access Memory		
RF	Radio Frequency		
RHA	Radiation Hardness Assurance		
SAS	Supplier Assessment System		
SEE	Single Event Effect		
SEU	Single Event Upset		
SiC	Silicon Carbide		
SME	Subject Matter Expert		
SOC	Systems on a Chip		
SOTA	State of the Art		
SPOON	Space Parts on Orbit Now		
SSDs	Solid State Disks		
ТІ	Texas Instruments		
TMR	Triple Modular Redundancy		
TRIUMF	Tri-University Meson Facility		
VCS	Voluntary Consensus Standard		
VNAND	Vertical NAND		

Overview - Automotive Electronic Parts

- In US, supplied in accordance with Automotive Electronics Council (AEC) specifications
- AEC URL: http://www.aecouncil.com/ Documents are FREE
- NEPP evaluation objectives:
 - Procure sample parts and evaluate as received performance and parametric compliance
 - Perform burn-in and life test to evaluate reliability
- Naval Surface Warfare Center (NSWC) Crane Indiana, providing test capabilities
- Parts selected:
 - chip capacitors, ceramic and dry slug tantalum
 - discrete semiconductors
 - microcircuits
- Initial results on capacitors showed unexpected behavior
- Finding subtle, non obvious differences, COTS to Aerospace Hi Rel and COTS to COTS
- Typically auto is just one grade of COTS offered



You May Think the "Big Three" Would Directly Oversee US Standards for Automotive Grade EEE Parts, But...



image by latestnewslink.com

Automotive Electronics Council (AEC) Controls the AEC "Q" Specifications for Automotive EEE Parts



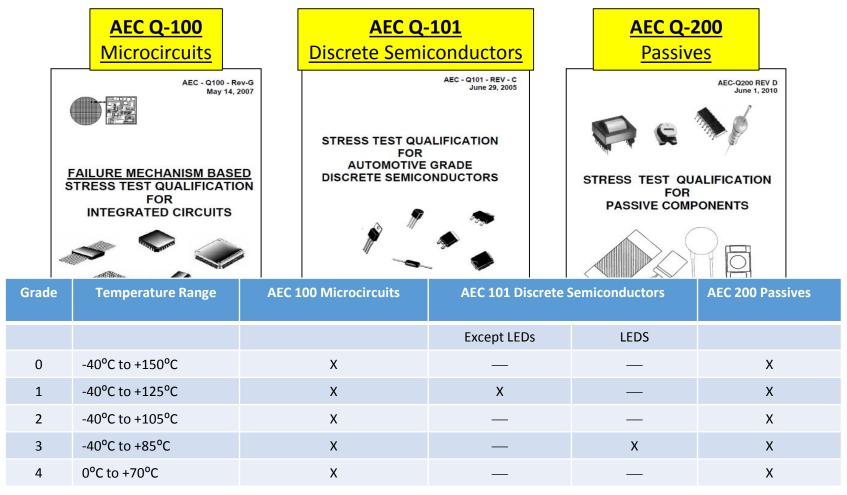
So Why Automotive Parts for Space?



- Parts from manufacturers that are qualified to the AEC Q specifications have advantages
 - Similar parts from different manufacturers have to be capable of meeting the same qualification, so they can be expected to have similar performance and reliability
 - Same form, fit, function maybe!
- Reliability problems more likely to become public knowledge than similar problems for general purpose commercial (large, homogenous market)
- <u>They are cost competitive to catalog COTS</u>

Automotive Electronic Parts

In US, Automotive Grade EEE Parts are qualified in accordance with Automotive Electronics Council (AEC) specifications "AEC Q"



AEC Specification System A Brief Overview

- •Key Features of the AEC System include:
 - A uniform and structured approach for <u>Qualification of a Device Family</u>
 - No requirements for screening
 - <u>Requirements for Requalification</u> in the event of major changes to materials, processes etc.
 - An Expectation (not requirement) for:
 - Certification to ISO 16949
 - A Production Part Approval (PPAP) document published by the Automotive Industry Action Group (AIAG) as required by ISO 16949

No Pure Tin Prohibition

ISO TS 16949

TECHNICAL SPECIFICATION

ISO/TS 16949

Third edition 2009-06-15

Quality management systems -

Particular requirements for the application of ISO 9001:2008 for automotive production and relevant service part organizations

Systèmes de management de la qualité ---

Exigences particulières pour l'application de l'ISO 9001:2008 pour la production de série et de pièces de rechange dans l'industrie automobile

- A Quality Management System specifically for automotive production
- Certification by a third party
- Augmented by periodic audits by the automobile manufacturers and their sub-system suppliers

What is a Production Part Approval Process (PPAP)?

- A PPAP is a data package expected for compliance with ISO 16949
- The current revision is the 4th edition, dated June 2006
- The PPAP consists of 18 elements
 - No standard format; depth of content varies widely between manufacturers
 - Manufacturer decides elements to make readily available versus "on-site" only
- Examples of the elements:
 - 1. Design records
 - 2. Engineering Change Documents
 - 3. Design Failure Modes and Effect Analysis (DFMEA)
 - 4. Process Flow Diagram
 - 5. Process Failure Modes Effect Analysis (PFMEA)
 - 6. Control Plan
 - 7. <u>Records of Material/Performance Tests</u>
 - 8. Initial Process Studies
 - 9. Qualified Laboratory Documentation
 - 10. Sample Production Parts
 - 11. Customer-specific requirements
 - 12. Parts Submission Warrant (PSW)

PPAP Levels	PPAP Submission Requirements	
1	Product Submission Warrant only (and for designated appearance items, an Appearance Approval Report) submitted to customer	
2	Product Submission Warrant with product samples and limited supporting data submitted to customer	
3	Product Submission Warrant with product samples and complete supporting data submitted to customer.	
4	Product Submission Warrant and other requirements as defined by customer.	
5	Product Submission Warrant with product samples and complete supporting data reviewed at organization's manufacturing location.	

NEPP Evaluation of Automotive EEE Parts The Plan

- Procure sample Automotive Grade EEE parts
 - Procure via authorized distribution or direct from manufacturer
 - Parts advertised by supplier to meet "AEC Q" requirements
 - Ceramic chip capacitors (base metal electrode from 3 different suppliers)
 - Discrete semiconductors (2 diodes, 1 transistor, 1 transient voltage suppressor)
 - Microcircuits (1 digital, 1 linear)
- Evaluate as received performance and parametric compliance
 - Perform burn-in and life test to evaluate reliability
 - Naval Surface Warfare Center (NSWC) Crane Indiana provides testing

Cost Comparison Data and Discussion

- Automotive parts are inexpensive but large minimum order quantity purchases can be required
 into the thousands.
- No radiation data available for automotive EEE Parts
- Additional screening costs (including radiation assurance) may be required to meet mission requirements before automotive parts can be used in low risk space applications
- <u>Need to consider the full cost of ownership if cost is</u> <u>the driver</u>

Tantalum Chip Capacitors

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* 40/41 N-6 300		

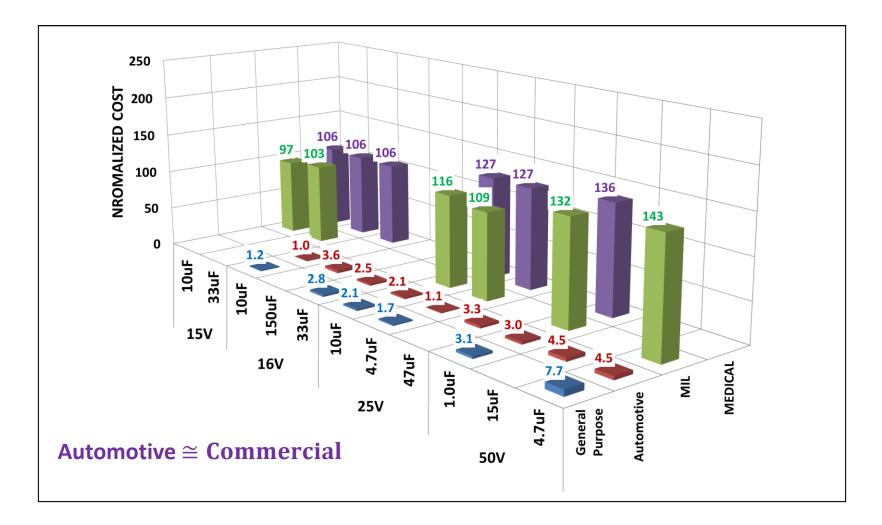


AVX Catalog S-TL0M714-C



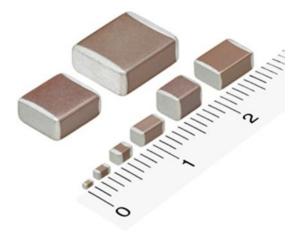
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Tantalum Chip Capacitors Normalized Cost Comparison for Selected Ratings



Ceramic Chip Caps

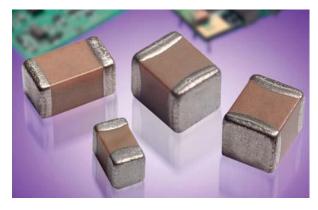
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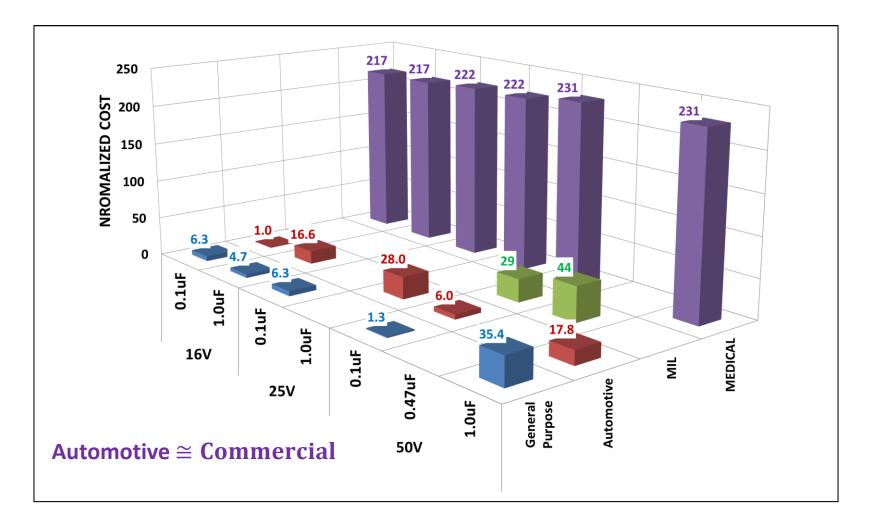
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AVX Catalog S-MLCC0414-C



Ceramic Chip Capacitors Normalized Cost Comparison for Selected Ratings



Testing Summary: NEPP Evaluation Automotive Parts Ceramic Capacitors

Parts were purchased through distributors as Automotive Electronics Council (AEC) Q-200 Automotive Grade			
Commodity	Test	Status	Comments
0805 Size 0.47uF, 50V 3 Different Mfrs All Use BME Technology	Construction Analysis	Complete	 All 3 Lots use BME Technology <u>At their own discretion</u> a manufacturer supplied devices made with "flexible termination"
	Initial Parametric Measurements	Complete	 No Failures DWV known to produce negative cap shift Mfrs recommend bake-out to restore cap
	Life Test* (2x Vrated, 125°C)	> 8000 Hrs Complete (Progressing to 10k hours)	 1 lot exhibits <u>8 catastrophic short life test failures</u> (120pc) 2 fail @ 3.1k hrs; 3 fail @ 4.7khrs; 1 fail @ 6.2khrs; 2 fail @7khrs 2 other lots starting to exhibit IR degradation after 7.5khrs
0402 Size 0.01uF, 16V 3 Different Mfrs 2 BME & <u>1 PME</u>	Construction Analysis	In Process	• 2 Suppliers advertise BME and 1 advertises PME
	Initial Parametric Measurements	Complete	No Failures
	Life Test* (2x Vrated, 125°C)	> 2000 Hrs Complete (Progressing to 10k hours)	 No Catastrophic Failures PME lot has most stable IR through 2k hrs Both BME lots showing initial signs of Hot IR degradation at ~500 Hrs 2000000000000000000000000000000000000

DWV=Dielectric Withstanding Voltage

* MIL requires 2000nrs, 0 failures for qualification

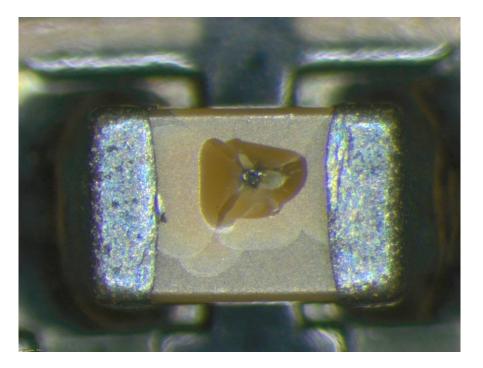
IR = Insulation Resistance

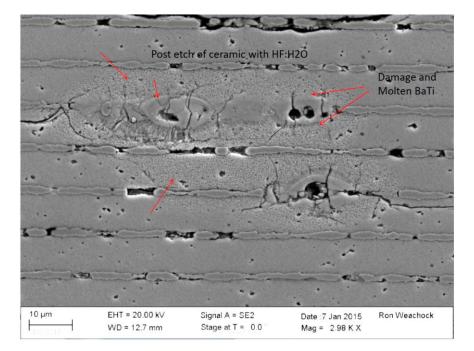
PME = Precious Metal Electrode

Testing Summary: NEPP Evaluation Automotive Parts ICs and Discrete Semiconductors

Parts were purchased through distributors as Automotive Electronics Council (AEC) Q-100 and Q101			
Commodity	Test	Status	Comments
Integrated Circuits 2 Different Mfrs 1 Diff Bus Transceiver 1 Comparator	Construction Analysis	In Process	 Mold Flash and/or FOD on Terminals "As-Received" (Linear IC) Tg measurements complete CSAM complete for digital IC CA to be performed at end of life test
	Initial Parametric Measurements	Complete	No Failures
	Burn-In & Life Test*	In Process	 Differential Bus Transceiver Life Test RESTART Pending. Initial Life Test Aborted due to Insufficient Decoupling Capacitance. Comparator Burn-In Complete. Life Test Pending
Discrete Semiconductors 1 Bipolar transistor (dual) 1 Switching diode 1 Transient Voltage Suppressor 2 Schottky Diodes	Construction Analysis	In Process	Tg measurements completeCA to be performed at end of life test
	Initial Parametric Measurements	In Process	 No Failures for bipolar transistor Switching diode to be tested 07/15
	Burn-In & Life Test*	In Process	 Bipolar transistor – 3500 hours of life test completed (20 pcs) – No Failures To Date, 5500 hours read point pending Switching diode test start delayed due to parts ordering issue

Example of Catastrophic Life Test Failure Mfr "A" Ceramic Chip Capacitor - Short Circuit

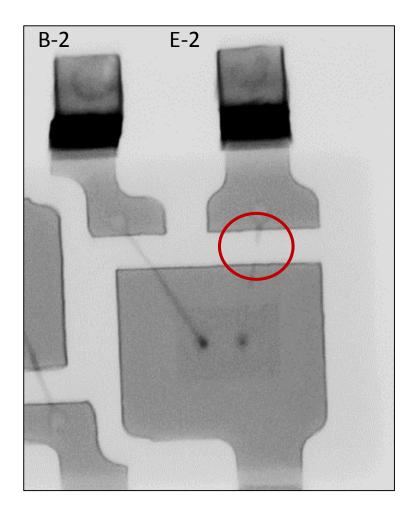




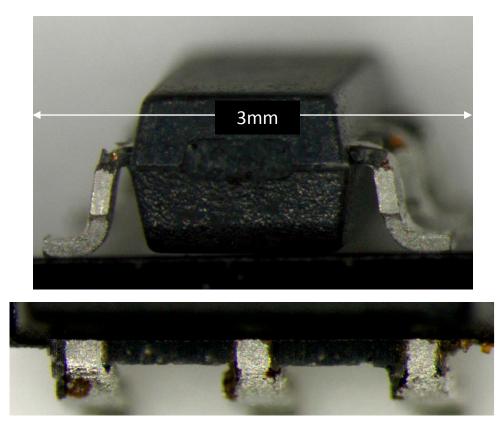
A total of 8 similar appearing catastrophic failures observed through 7500 hours of testing

Bipolar Transistor Failure Initial Analysis Results

- X-ray Top View Showing Fused Open Bond Wire
- Testing hook-up error suspected
- Electrical over-stress likely
- <u>Learning lessons about how</u> <u>to test as well as how well</u> <u>parts perform!!!</u>



Observations from Receiving Inspection FOD* on IC Terminations "As-Received"



* Excess molding compound escaping between mold halves and mold to leadframe interfaces. Small size makes it difficult to remove this flash automatically. Considered acceptable for automotive users, NASA would normally reject to a Materials Review Board (MRB) for disposition, so NASA accept/reject criteria probably need review.

Digital Microcircuit Initial Failure Analysis

- Hi Speed Comparator
- All parts failed dynamic burn-in soon after turn-on
- Investigation complete
- Parts Overstressed
- Combination of test frequency and temperature used, exceeded part rating and led to thermal runaway
- Revised test conditions in development
- Human Error/Learning Curve

Lessons Learned

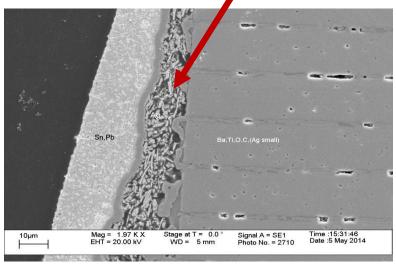
Procurement of Automotive EEE Parts Lessons Learned (1)

- Anybody can buy catalog "AEC Q" parts via authorized distributors
- However, many large volume automotive electronic system manufacturers DO NOT buy "catalog" automotive grade EEE parts
 - Instead, they procure via internal SCDs based on "AEC Q" catalog items
 - SCDs used to tailor and control specific needs (e.g., unique test requirements, internal part numbers)
- Some distributors demonstrated no knowledge of AEC components and suggested other parts they had in stock as replacements
- Traceability needs careful control distributor documentation may not have same details as manufacturer's

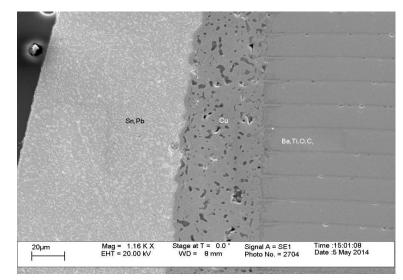
Procurement of Automotive EEE Parts Lesson Learned (2)

- Some AEC Q ceramic chip capacitors may be supplied with either "flexible termination" or "standard termination" at the discretion of the supplier.
- Manufacturer decided to sell an equivalent part "better than" the one ordered
- Not just an issue for capacitors, potential for all part types

Mfr "A" - Flexible termination



Mfr "C" - Standard termination



Lessons from Testing

- So far, all parts tested, passed datasheet limits as received (basic electricals)
- Capacitor testing showed need for a bake out after DWV to "reset" capacitance
- 0805 Capacitor DPA showed different termination materials
- Many PEM's had glass transition temperatures below 125C
- Baseline electricals for 0402 were established after mounting to reduce handling of small parts
- Datasheet for digital part gave a typical value for only one electrical parameter at high temperature and testing showed actuals were about 2x this "typical" value

General Lessons Learned

- Most AEC parts are non-hermetic but a few manufacturers provide hermetic automotive grade devices
- Device packaging is typically molded plastic, "Green Molding Compound".
- Automotive and commercial AEC Q101 devices have implemented the use of copper bond wires instead of gold bond wires.
- Purchase costs of AEC and catalog COTS are around the same
- Pure tin finishes are allowed (possible tin whisker risk)
- Some or all manufacturing steps likely to occur in China

Conclusions

- So far, some issues have been found and some lessons learned but no "showstoppers"
- Automotive grade EEE parts are rated for automobile environment (in cabin or under hood) – not space! However, the underlying qualification system provides a strong foundation
- Overall, results so far are encouraging



Automotive Electronics Council (AEC) http://www.aecouncil.com/

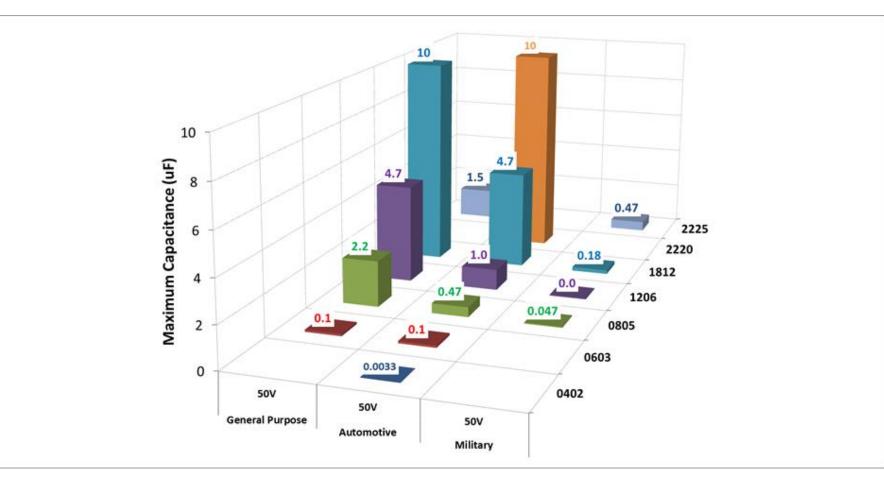
- Established early 1990s by Ford, GM, Chrysler
- Purpose to establish common EEE part-qualification and quality-system standards for use by major automotive electronics manufacturers
- Driven by desire to restore the attention given by EEE parts supplier which was declining due to the decreasing market share of automotive electronics
- Originally comprised of two committees
 - AEC Component Technical Committee
 - Quality Systems Committee No Longer Active

Beyond AEC Q –

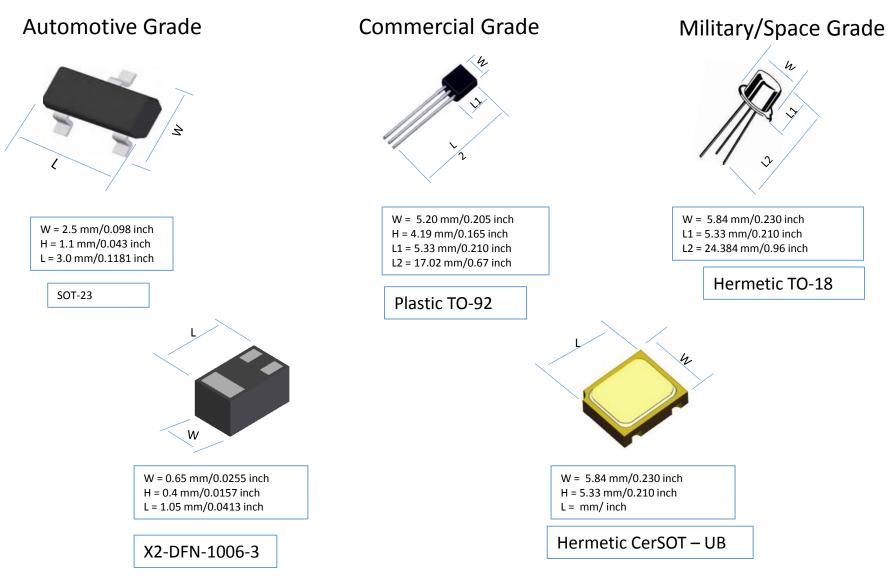
What do SOME Automotive EEE Parts Customers Require?

- Manufacturer should be ISO TS 16949 certified (or equivalent) for Quality Management Systems for Automotive Production
 - Third party audits
 - Full assessment typically every 3 years
 - Partial assessment typically every 1 year (optional every 6 months)
- Manufacturer should follow the Automotive Industry Action Group (AIAG) Production Part Approval Process (PPAP).
- Customer audits
 - May perform an Initial Audit before adding supplier to their approved vendors lists
 - Subsequent audits may only occur when "problems arise"
- Customer-specific requirements SCDs for automotive grade "plus"
 - Unique qualification tests
 - Unique screening tests

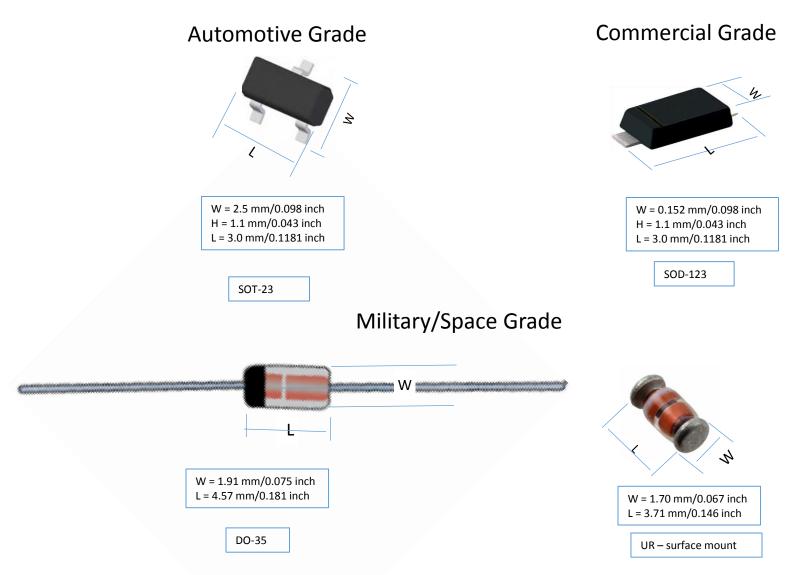
Size Comparison 50V Ceramic Chip Capacitors



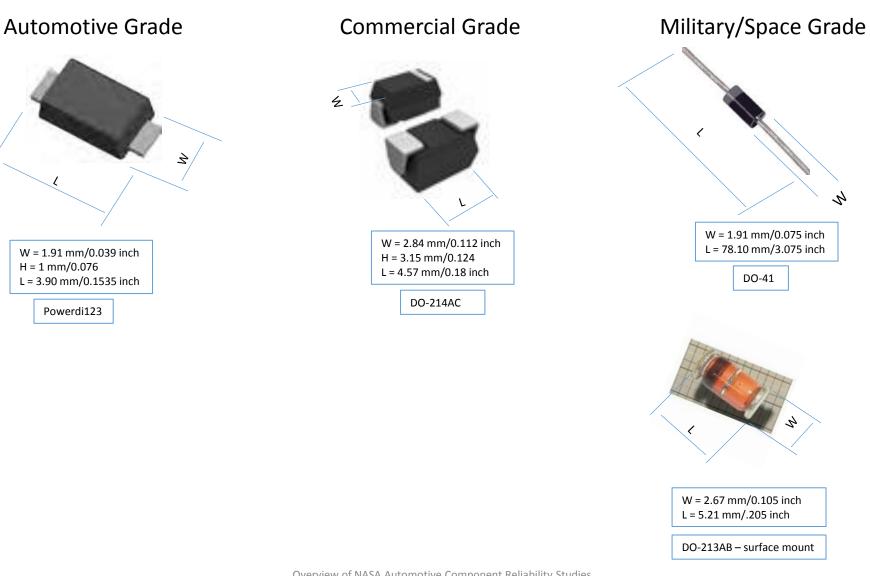
Package Examples for 2N2222 Bipolar Transistor



Package Examples for Switching Diode



Package Examples for Schottky Barrier Diode



What do AEC Q Specifications contain?

AEC Q specifications are Qualification Requirements <u>Only</u>, Focused on:

- A One-Time INITIAL QUALIFICATION of a Device Family
 - Periodic Qualification Verification NOT REQUIRED
 - Guidance is given to define what constitutes a "Device Family"
 - Specifies # of lots, qualification tests to perform and sample sizes
 - "Generic Data" may be used provided relevance of data can be demonstrated (e.g., less than 2 years old for passives)
- Requirements for REQUALIFICATION
 - Provides recommendations for requalification tests in the event certain kinds of materials or process changes are made after initial qualification
- Requirements for process change notification to automotive customers (sub-system suppliers to automotive manufacturers)
- THEY DO NOT PROHIBIT PURE TIN Whisker mitigation recommended

What do the AEC "Q" Specs **NOT** Provide?

- No Qualifying Activity to certify manufacturer meets qualification requirements
 - Manufacturers "Self Certify" their compliance to AEC "Q"
 - Each User responsible to review the qualification data to verify compliance to AEC "Q"
- Does Not Require Supplier Quality Audits
 - In practice, most EEE component manufacturers are certified to ISO TS 16949
 - Does Not Require SCREENING to remove infant mortality or quality defects
 - Screening is at discretion of each manufacturer and as such is Not Standardized across the manufacturer base and may also be customer specific
- Does Not Provide Standard Specifications nor Part Numbers for Procurement
 - Manufacturers choose their "automotive grade" designs and part numbers