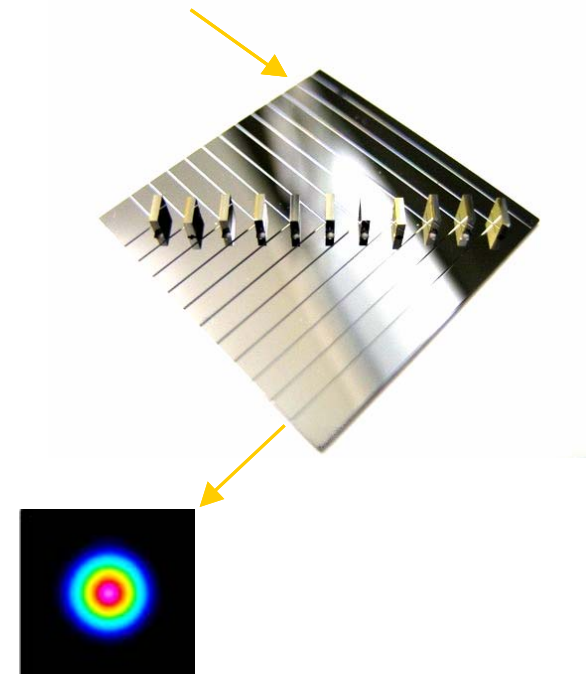


Hollow Waveguide Optical PCB Technology for Micro-Optical Sensors

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Optronics Division,
QinetiQ Ltd.

Thanks to:
Kotska Wallace, Benedikt Guldemann and Bernhard Furch
ESTEC

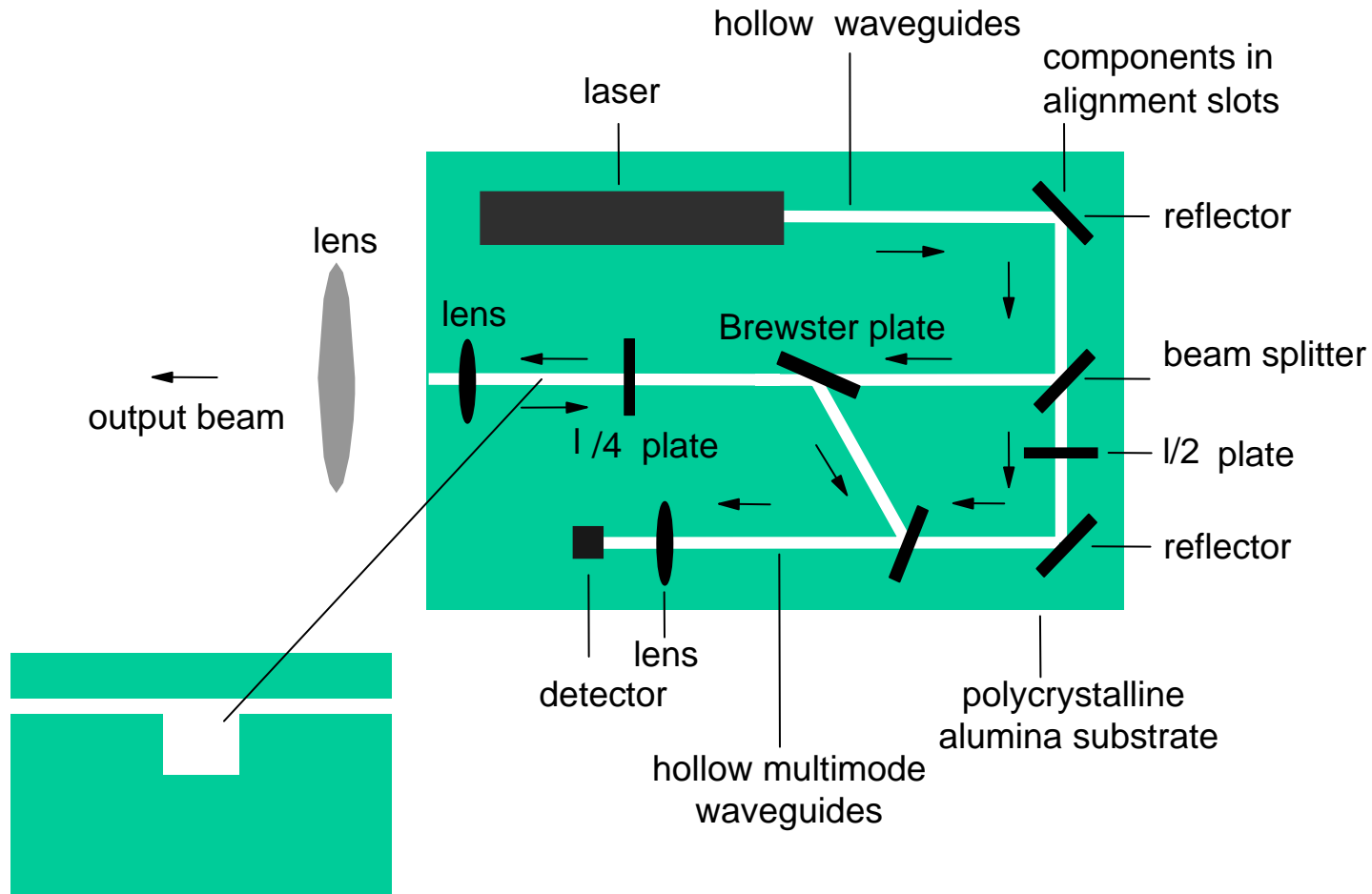
11th October 2007



ESA's interests in relation to space instrumentation

- Optical and laser based systems play an increasingly important role in space missions. In such missions, key requirements are:
 - small size
 - low mass
 - robustness to optical misalignment
 - good optical efficiency
 - low cost
- An optical PCB concept could fulfil these requirements

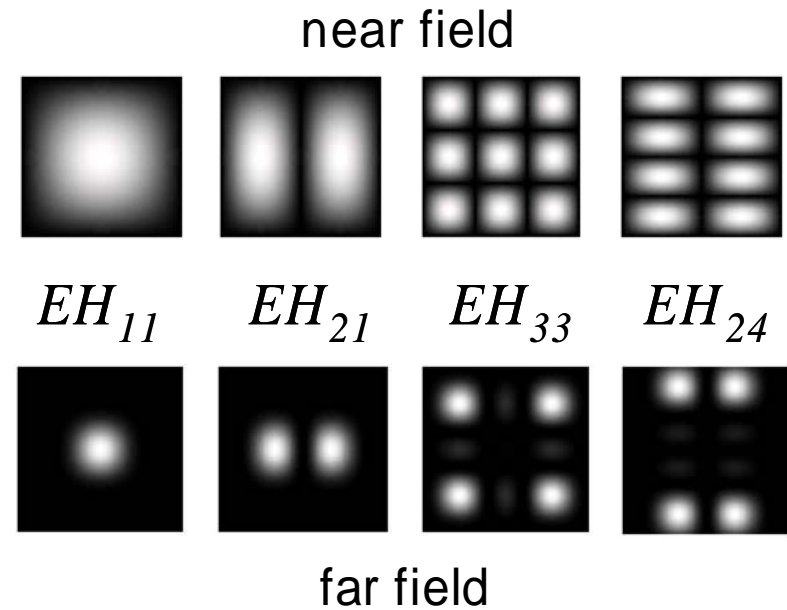
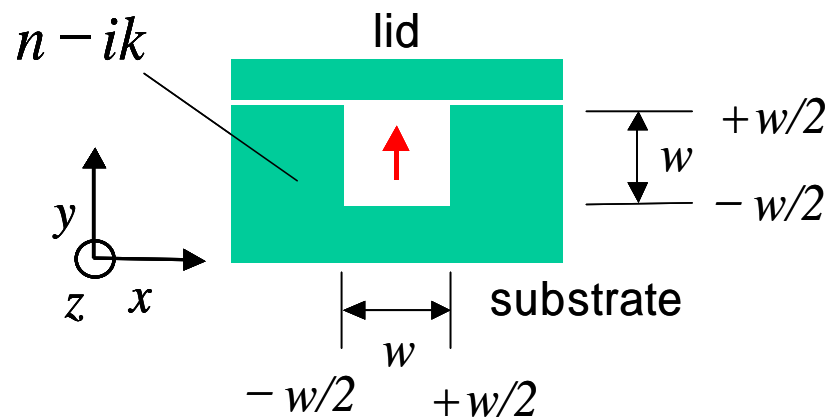
The hollow waveguide optical PCB concept



Advantages of hollow waveguide optical PCB concept

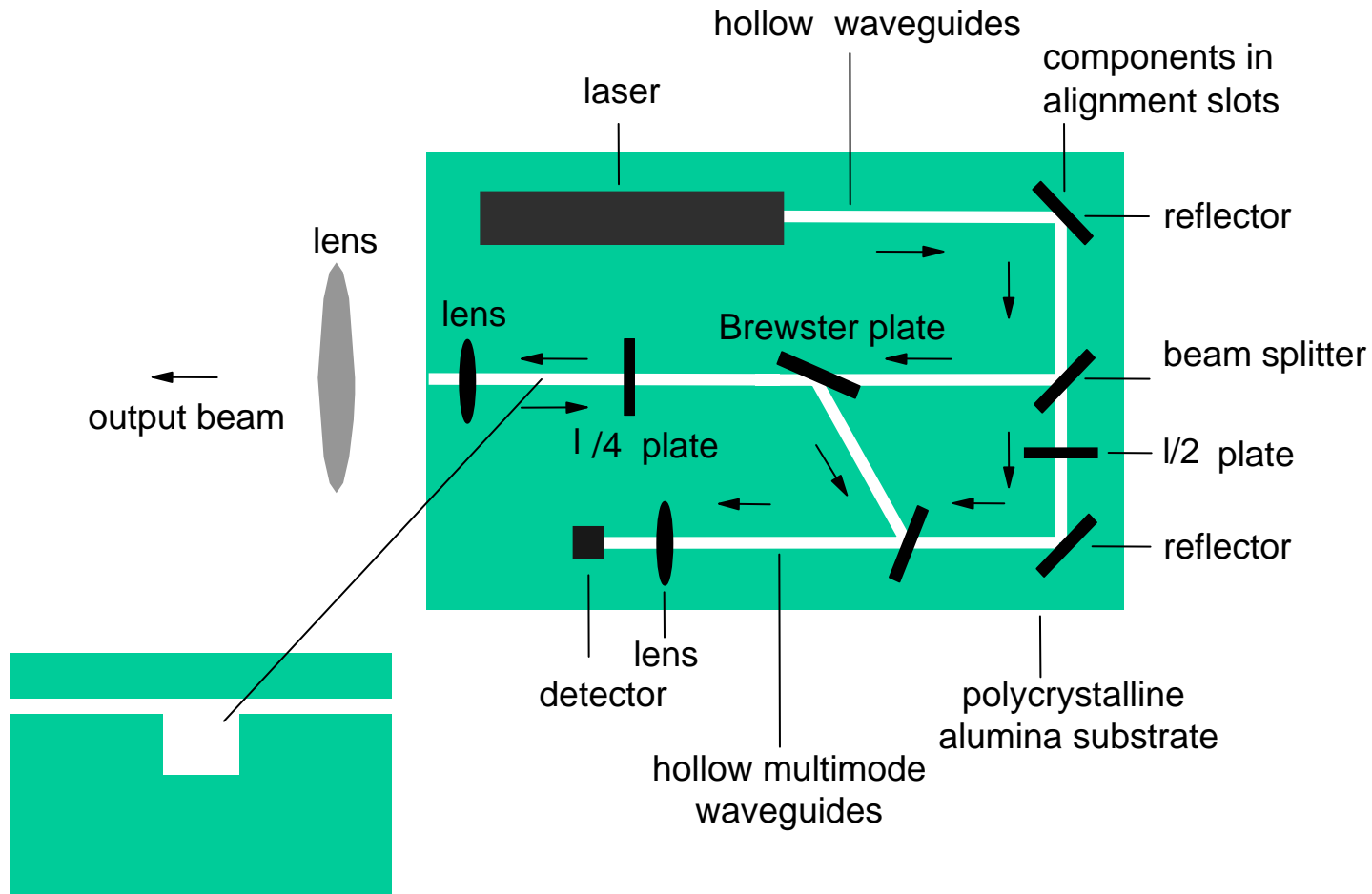
- Provides a fundamentally new approach to the manufacture of micro-optical systems
 - Inherently compact, rugged (optically robust) and low mass
 - Easy integration of any required discrete component
 - Easier angular alignment tolerances result in excellent optical performance
 - Optical guidance means small beam widths allowing increased packing density compared with free-space systems
 - The hollow core waveguides facilitate very broad waveband, high power transmission characteristics compared with solid core guides
 - Considerable potential for low cost mass manufacture

Hollow waveguide mode theory



$$T_{pq_{dB}} = -4.35 \frac{\lambda^2}{w^3} \left[p^2 \operatorname{Re} \left(\frac{1}{\{(n-ik)^2 - 1\}^{1/2}} \right) + q^2 \operatorname{Re} \left(\frac{(n-ik)^2}{\{(n-ik)^2 - 1\}^{1/2}} \right) \right]$$

The hollow waveguide optical PCB concept

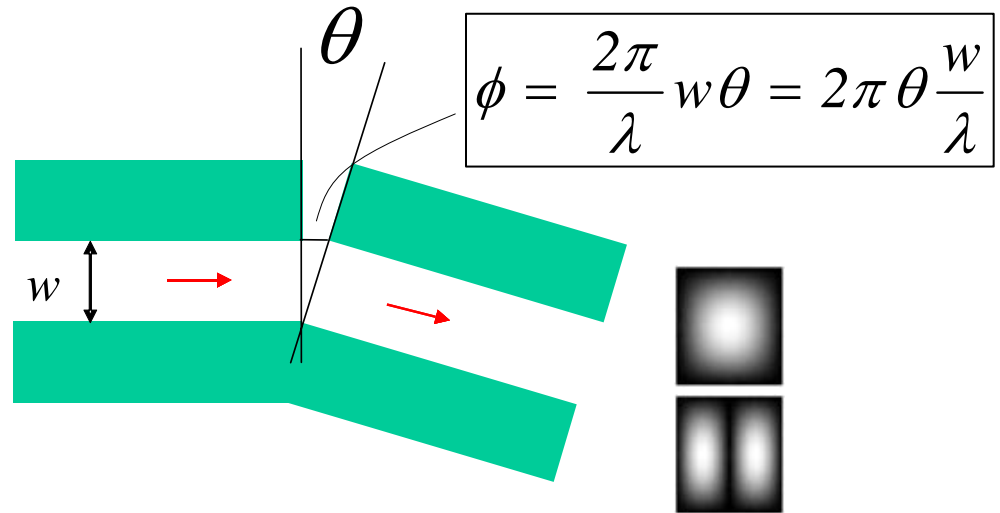


Definition of alignment criteria

angular alignment criteria

$$\theta \leq \frac{\lambda}{5w}$$

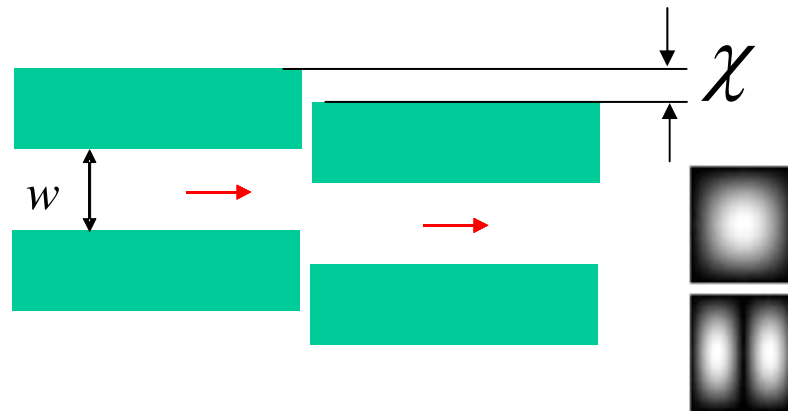
equates to EH_{11} coupling ≥ 0.95



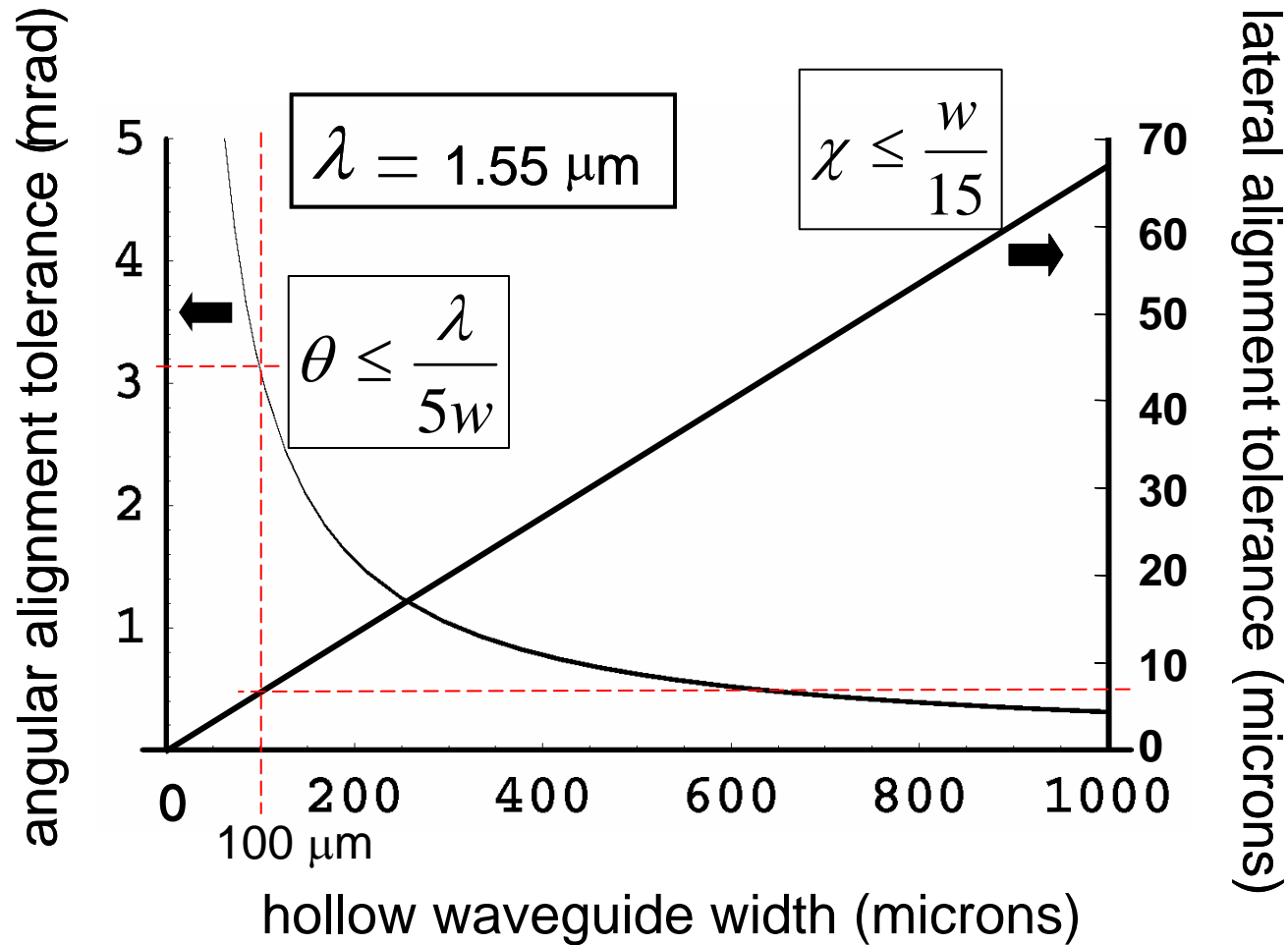
lateral alignment criteria

$$\chi \leq \frac{w}{15}$$

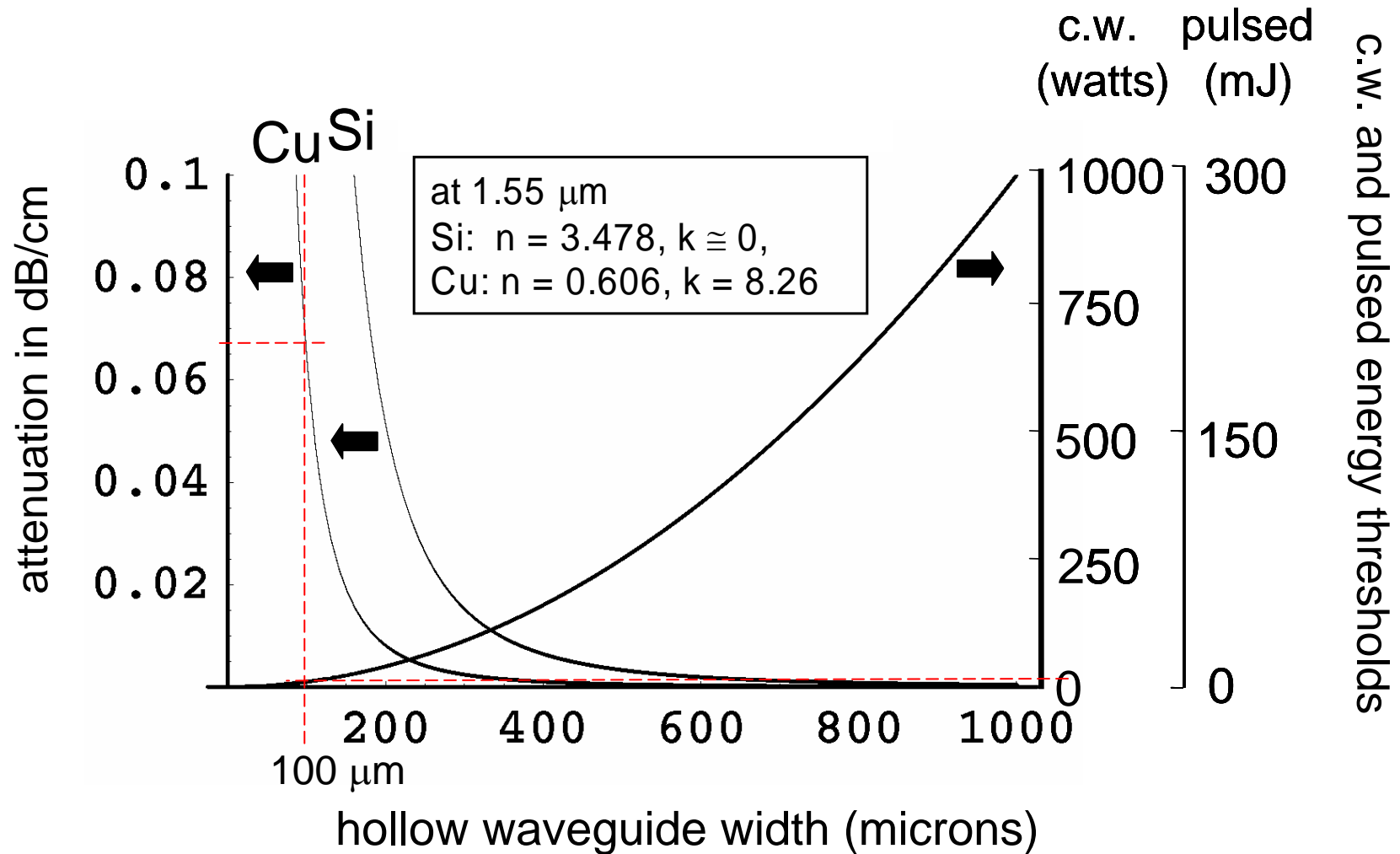
equates to EH_{11} coupling ≥ 0.95



Angular and lateral alignment tolerances versus guide width



Attenuation and power handling versus guide width



QinetiQ Malvern MEMS processing facility

Leading European provider of custom military & commercial MEMS solutions

- Experienced, integrated team of 50 staff

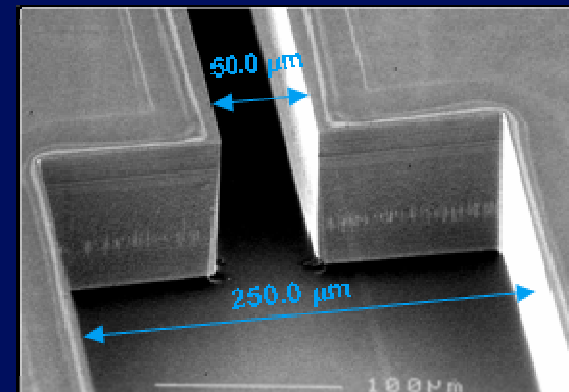
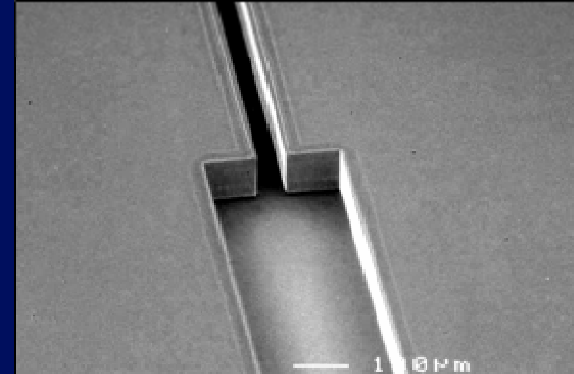
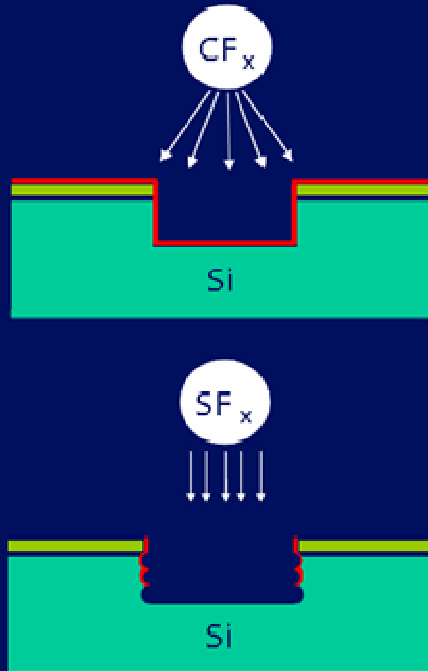
Key Capabilities

- MEMS design and modelling
- CMOS Electronic design (PCB / MCM / ASIC)
- Microsystems with embedded processing
- Microstructure fabrication (ISO9001) Advanced characterisation and test

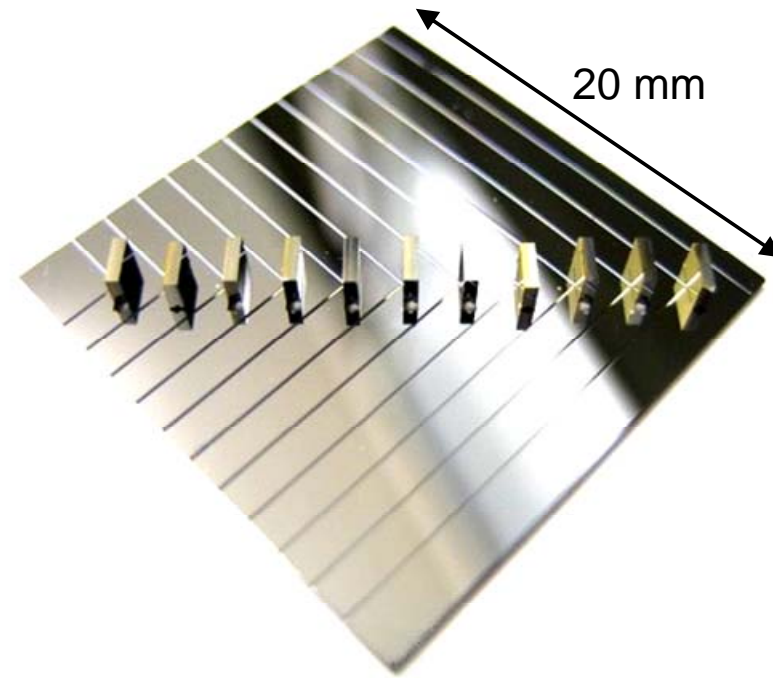
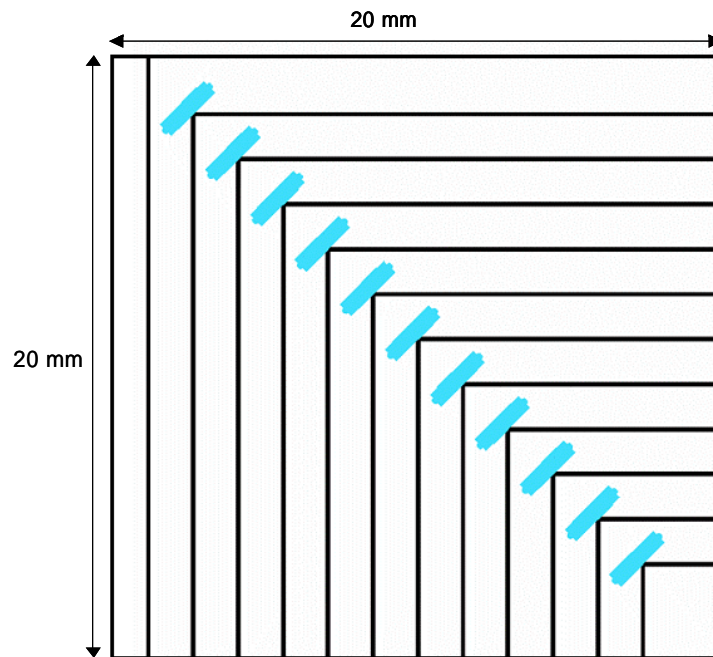


Hollow silicon waveguides for 1.55 μm micro-optical systems

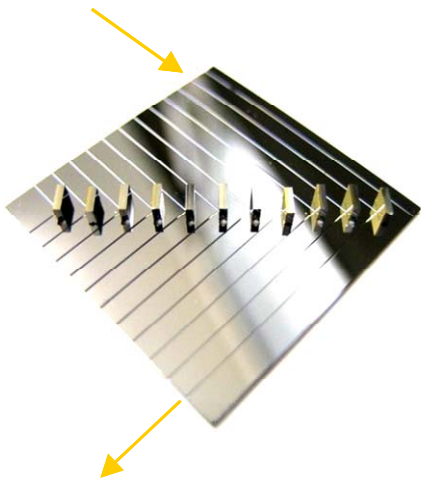
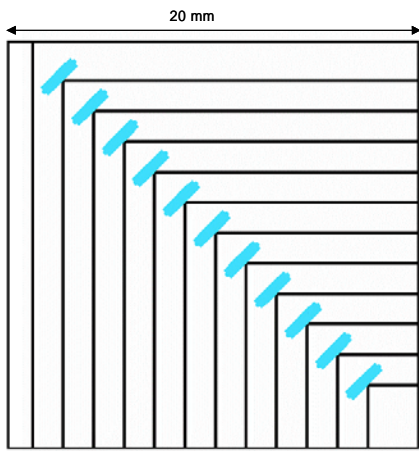
DRIE Passivation & Etch Cycle



11-element 90° fold array based on precision alignment slots



Optical PCB test structure with eleven 2 x 2 x 0.5 mm integrated fully reflecting fold mirrors in conjunction with 125 μm wide hollow guides for assessing alignment reproducibility



fold 1 near field

fold 2

fold 3

fold 4

fold 5

fold 6

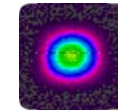
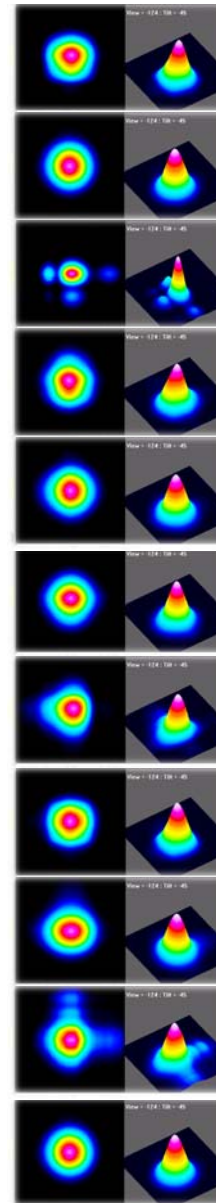
fold 7

fold 8

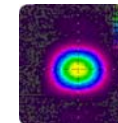
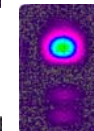
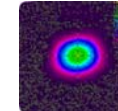
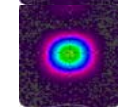
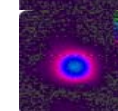
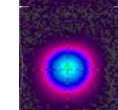
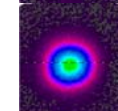
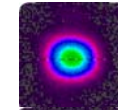
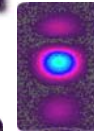
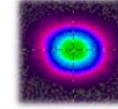
fold 9

fold 10

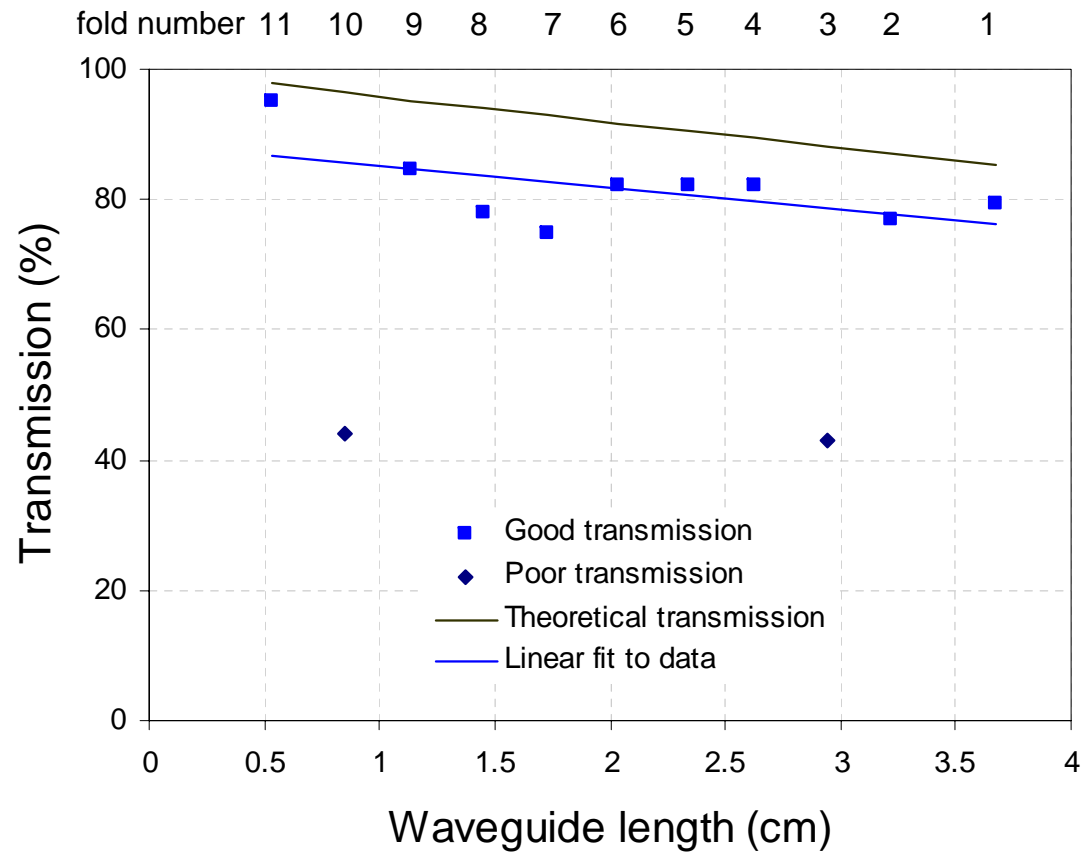
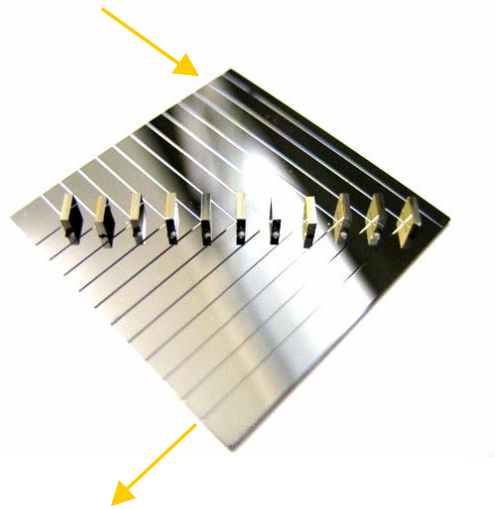
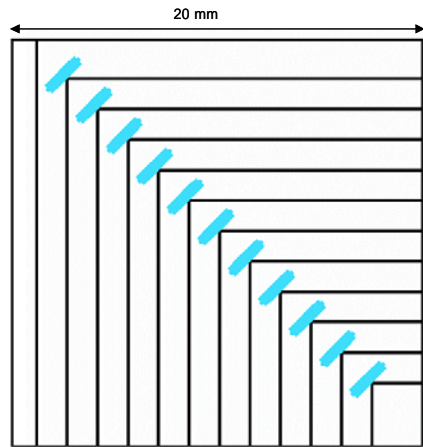
fold 11



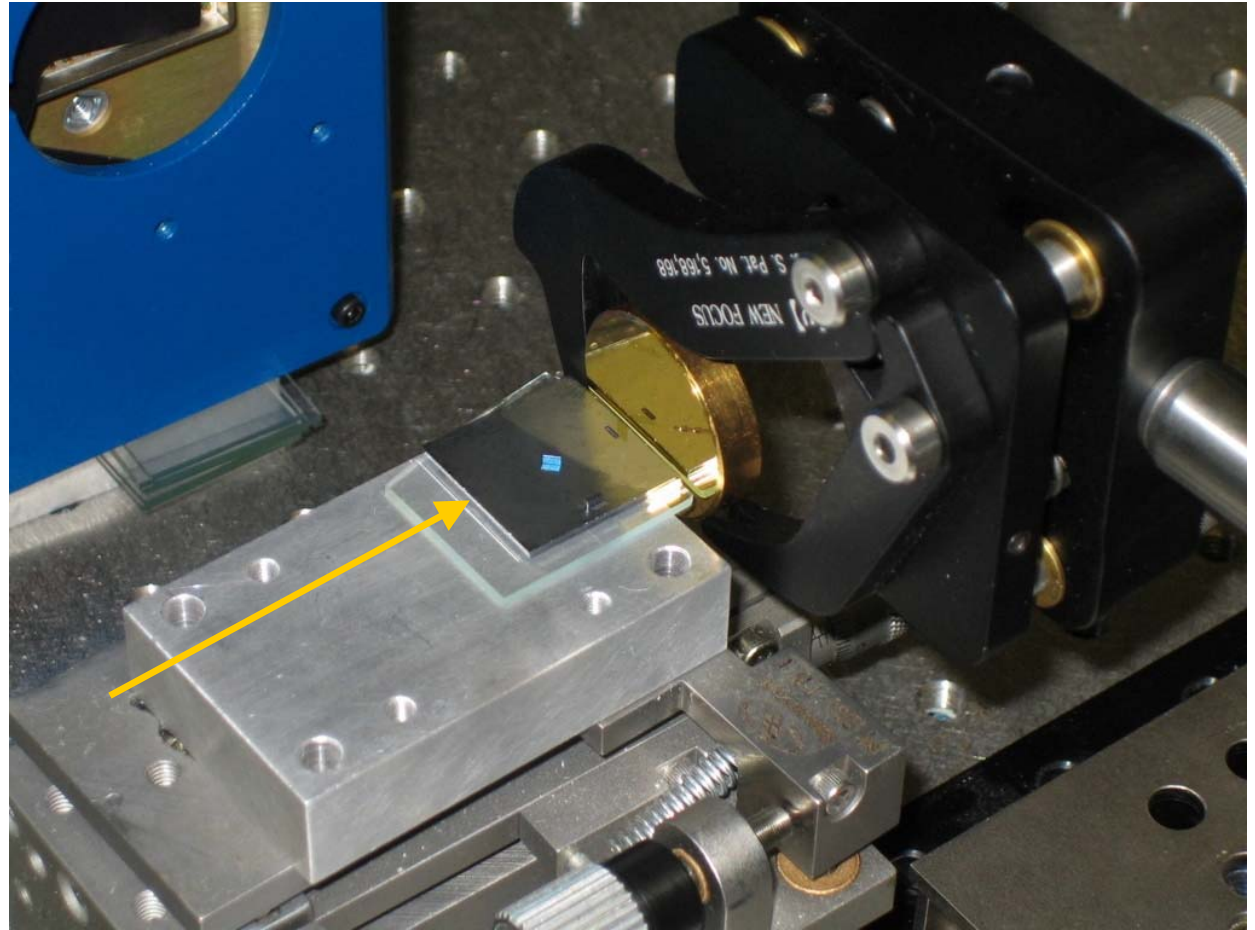
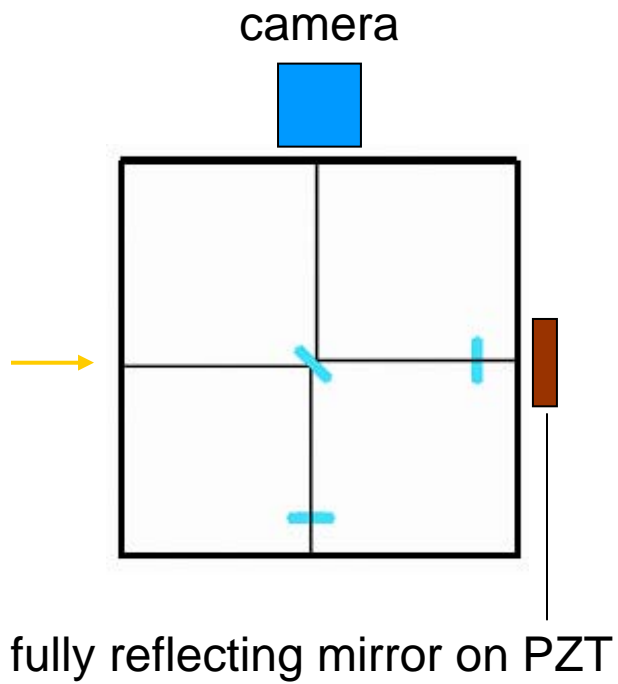
far field



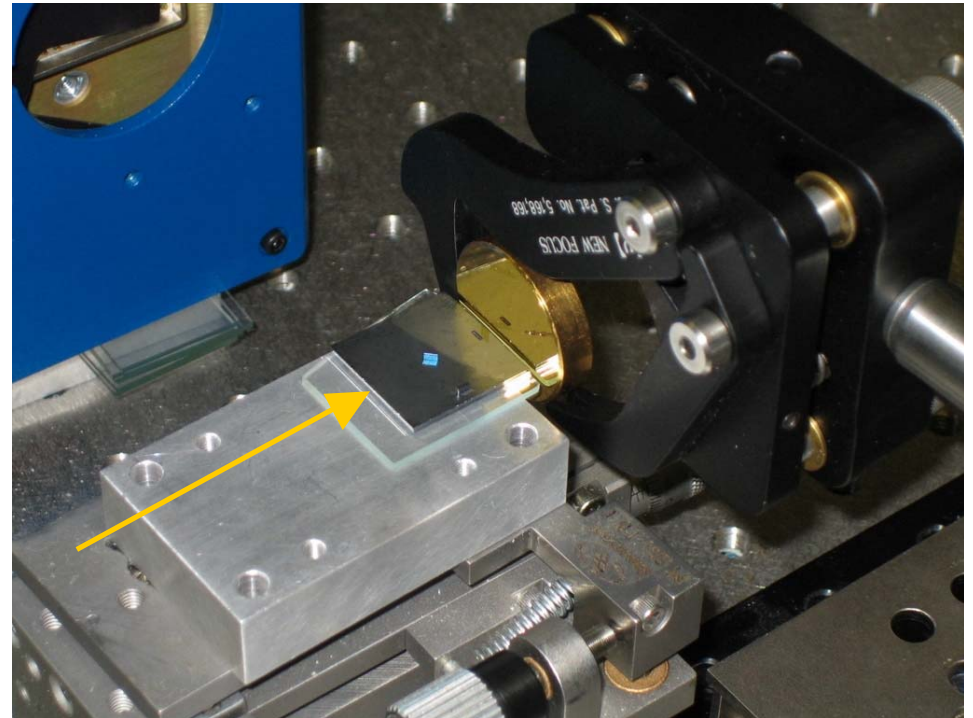
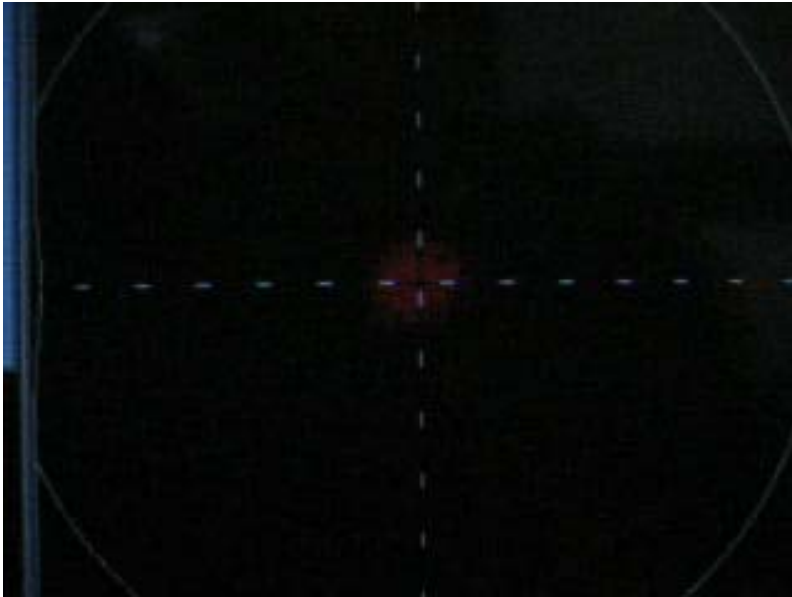
Measurements of attenuation as a function of overall guide length



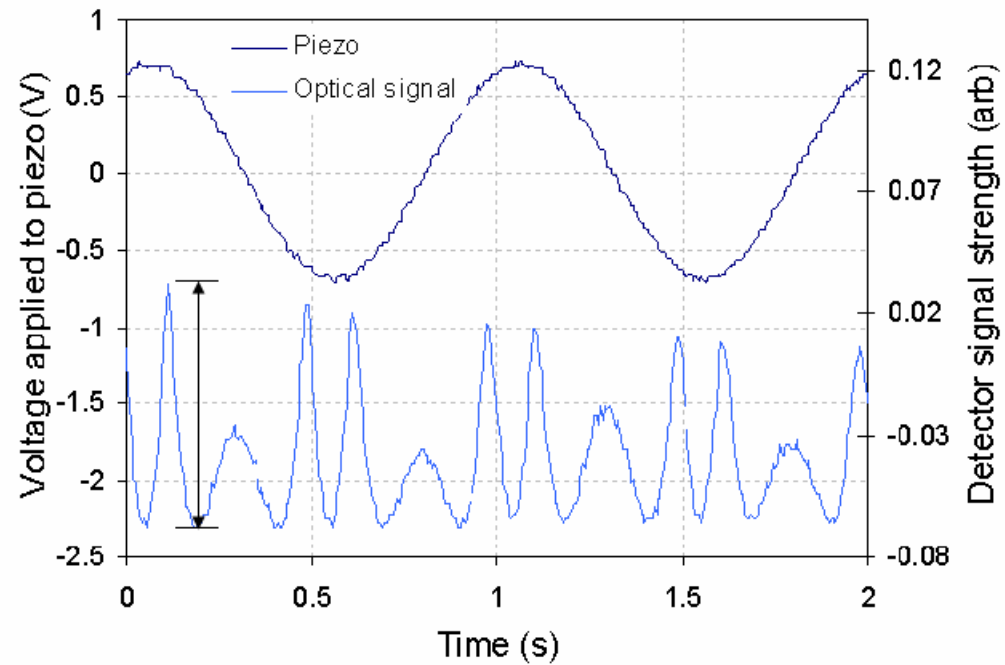
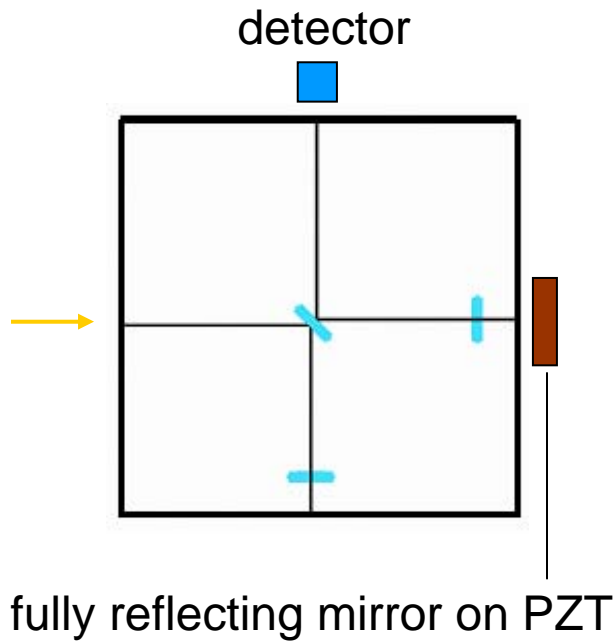
Hollow silicon waveguide Michelson interferometer



Demonstration of hollow silicon waveguide Michelson interferometer

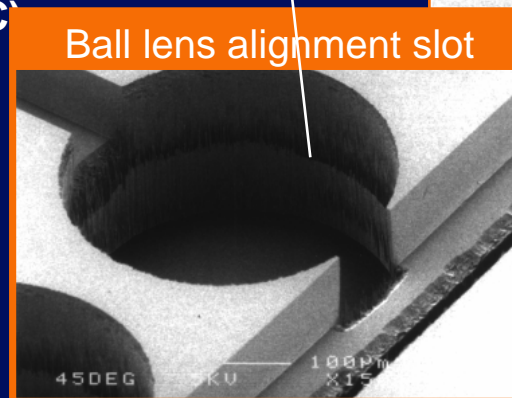
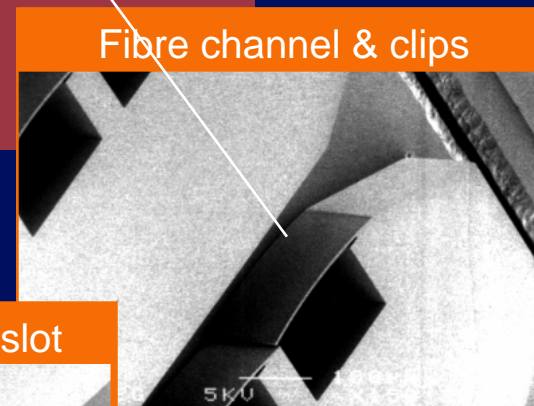
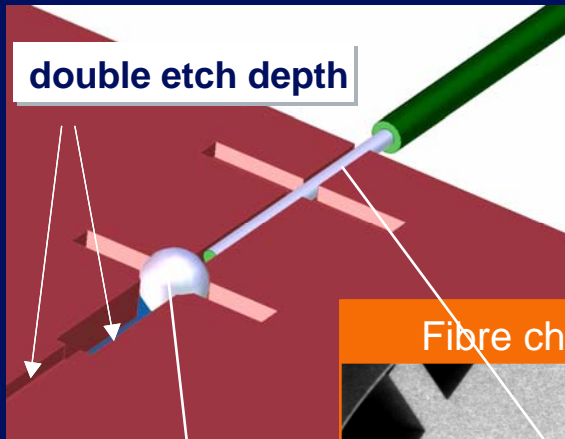


Characteristics of Michelson interferometer test structure



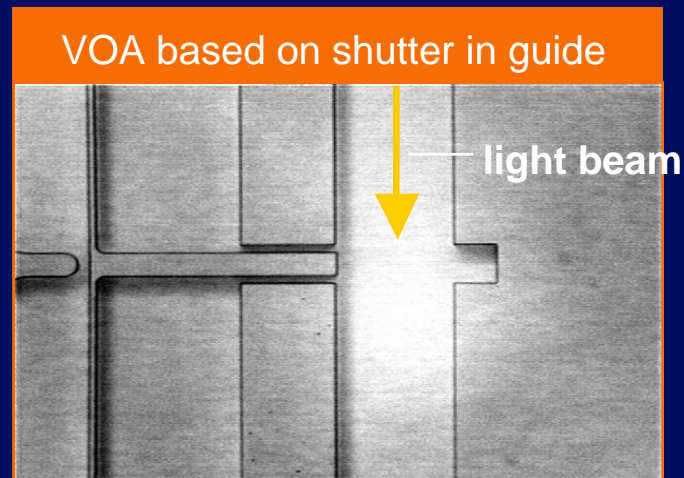
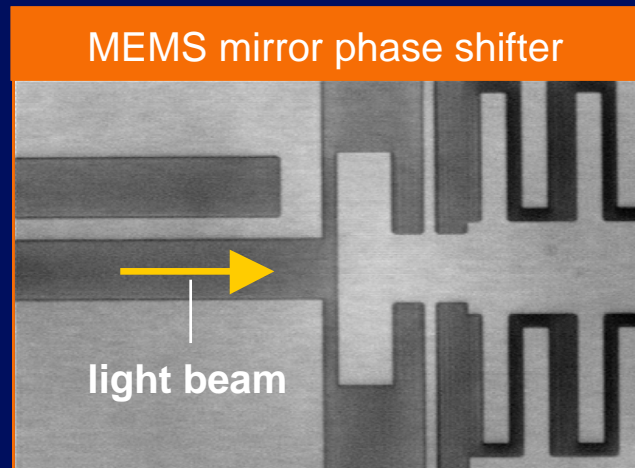
Hybrid integration of discrete components

- **Passive**
 - Fibres
 - Lenses - ball/GRIN
 - Mirrors
 - Beam Splitters
 - Gratings
 - Optical isolators
 - TFF & AWG (PLC)
- **Active**
 - Lasers
 - Modulators (PLC)
 - Detectors
 - VOAs
 - SOAs

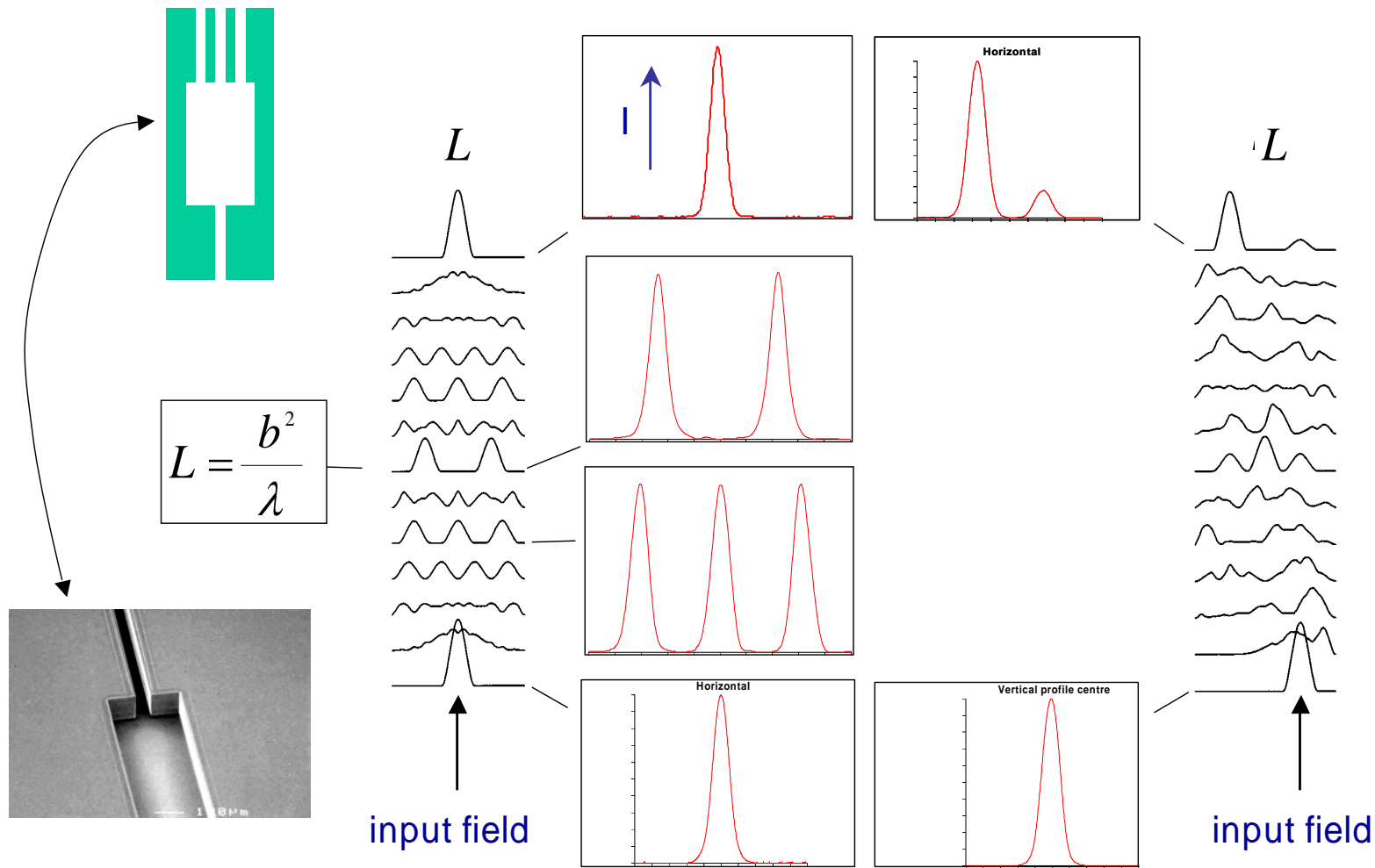


Monolithic integration of MEMS components

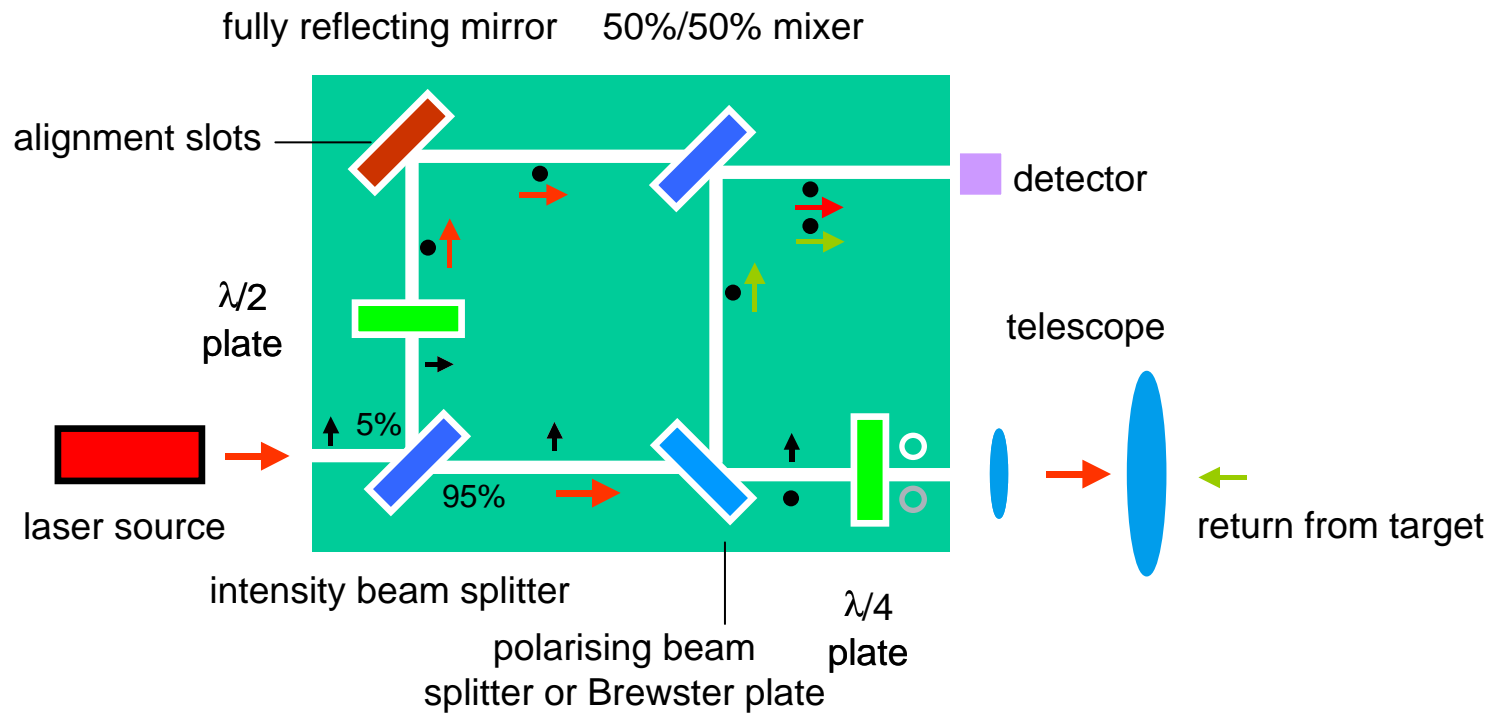
- Monolithic
 - Moveable mirrors
 - Phase shifters
 - Beam steering
 - VOA / Switch
 - Shutter-based
- Hybrid
 - Moveable Mirrors
 - Tuneable Filters
 - VOA / Switch
 - etc.



Demonstration of multimode interference (MMI) splitters



Proposed HWG 1.55 μm coherent lidar demonstrator



Summary of hollow silicon waveguide optical PCB integration technology

- Ease integration of discrete components - no optical interface to bridge
- Broad waveband high power transmission characteristics
- No beam diffraction - small/fixed beam diameter
- Easier angular alignment tolerances c.f. free-space
- Easier lateral alignment tolerances c.f. solid-core guides
- Predicted attenuation coefficients < 0.01 dB/cm
- Integrated 45° fold mirrors provide good fundamental mode propagation fidelity in practice
- Hollow waveguide Michelson interferometer demonstrated in practice
- With some further development the concept appears set to provide us with compact, low mass, rugged, low cost micro-optical systems